MSU 4.1-435

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Muraleedharan G. Nair, Haibo Wang, Gale M. Strasburg In re application of: Alden M. Booren and James I. Gray

Application No: 0 9 / 337,313

Group No.: 1651

Filed: 1999 June 21

Examiner: P. Patten

For:

METHOD FOR INHIBITING CYCLOOXYGENASE AND INFLAMMATION USING

CHERRY BIOFLAVONOIDS

Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))

NOTE: An Information disclosure statement shall be considered by the Office if filed . . . before the mailing date of either (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first, provided the statement is accompanied by either a statement as specified in paragraph (e) of section 1.97 or the fee set forth in § 1.17(p).

NOTE: "If a final action or notice of allowance is mailed in an application and later withdrawn, the application will be considered as not having had a final action or notice of allowance mailed for purposes of considering an information disclosure statement." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "If information submitted during the period set forth in 37 C.F.R. 1.97(c) with a certification is used in a new ground of rejection on unamended claims, the next Office action will not be made final since In this situation It is clear that applicant has submitted the information to the office promptly after it has become known and the information is being submitted prior to a final determination on patentability by the Office. However, the information submitted with a certification can be used in a new ground of rejection and the next Office action made final, [i]f the new ground of rejection was necessitated by amendment of the application by applicant. Where the information is submitted during this period with a fee, the examiner may use the information submitted, e.g., printed publication or evidence of public use, and make the next Office action final whether or not the claims have been amended, provided that no other new ground of rejection which was not necessitated by amendment to the claims is introduced by the examiner. See MPEP 706.07(a). If a new ground of rejection is introduced that is neither necessitated by an amendment to the claims nor based on the information submitted with the fee set forth in 37 C.F.R. § 1.17(p), the Office action shall not be made final." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

transmitted by facsimile to the Patent and Trademark Office.

Date: 8/25/00

Tammi L. Taylor

(type or print name of person certifying)

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance [6-4]—page 1 of 3) WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

- 1. The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but before the mailing date of either:
 - (1) a final action under § 1.113 or
 - (2) a notice of allowance under § 1.311, whichever occurs first

Whichever occurs first.
STATEMENT OR FEE
2. Accompanying this transmittal is
(check either A or B below)
A. a statement as specified in 37 C.F.R. § 1.97(e).
OR
B. \(\text{\text{Z}}\) the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$240.00).
FEE PAYMENT
(complete this item, if applicable)
 Applicant elects the option to pay the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$240.00).
Fee due \$ <u>240</u>
METHOD OF PAYMENT OF FEE
4.
Attached is a check in the amount of \$ in the amount of \$ Charge Account No in the amount of \$ A duplicate of this request is attached.
If any additional fees are due, please charge Account <u>13-0610</u>
(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance [6-4]—page 2 of 3)

SIGNATURE OF PRACTITIONER

Reg. No. 20,931

Ian C. McLeod

Tel. No.: (517) 347-4100

(type or print name of practitioner)

Customer No.: 21036

2190 Commons Parkway P.O. Address

Okemos, Michigan 48864

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance [6-4]—page 3 of 3)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Muraleedharan G. Nair, Haibo Wang,

Gale M. Strasburg, Alden M. Booren

and James I. Gray

For

METHOD FOR INHIBITING CYCLOOXYGENASE AND

INFLAMMATION USING CHERRY BIOFLAVONOIDS

Commissioner of Patents and Trademarks
Washington, D. C. 20231

INFORMATION STATEMENT UNDER RULE 1.97 AND 1.98

Sir:

Provided herewith are copies of patents and publications as listed on the attached Form PTO 1449. A concise explanation of the relevance of each reference listed on Form PTO 1449 is contained in the above-captioned specification as filed.

Applicant respectfully asserts that the substantive provision of 37 CFR 1.97 and 37 CFR 1.98 are met by the provision of the foregoing statement.

Respectfully,

Muraleedharan G. Nair
Date: 6/21/97

Haibo Wang
Date: Gale M. Strasburg
Date: 6/21/99

Alden M. Booren
Date:

James J. Gray
Date: 6-21-94.

Jan C. McLeod

Registration No. 20,931 Date: $\frac{Q}{2}/\frac{2}{9}$

McLeod & Moyne, P.C. 2190 Commons Parkway Okemos, Michigan 48864 (517) 347-4100 Fax: (517) 347-4103